

(FORM: NBP Funds - 05) (Please see guidelines overleaf before completing this form)

[illegible]

Date: \_\_\_\_\_

Name of Fund: \_\_\_\_\_ Fund Code: \_\_\_\_\_  
(Please see fund names and codes mentioned on the back side of the form)

## 1. PLEDGER

Number of Unit(s): \_\_\_\_\_ Units in words: \_\_\_\_\_

Certificates Issued: ☐ No ☐ Yes, (Please attach the certificates)

if Yes: Certificate(s) # : No. of Units:

## 2. DECLARATION

1. In making this request I / We, recognize and understand that:

- The registration of this Pledge / Lien places a responsibility on me / us to ensure that for any reason whatsoever if Units are redeemed save any legal bar or Court requiring otherwise, the proceeds shall be paid to the order of the Lien-Holder(s) or first named Joint Lien- Holder(s) according to the provisions of the Trust Deed and Offering Document of the relevant NBP Funds accruing on such Units (hereinafter referred to as the Pledged Unit(s)).
- The lien once registered shall be removed by the written authority of the party in whose favor the lien has been registered or through an order of the competent court.
- Save any legal bar or Court order requiring otherwise, any dividends that are declared on the Pledged Unit(s) shall be paid to the order of the Unit Holder(s) / Pledger(s) any Bonus Units that the Pledged Unit(s) are entitled to shall automatically be marked under the Lien of the Lien Holder(s).
- **NBP Funds** or The Trustee or The Transfer Agent do not accept any responsibility for the validity of my / our placing of the Pledge Unit(s) under Pledge / Lien nor for any obligation or commitments undertaken by me / us in respect thereof.
- The Pledge / Lien on the Pledge Units shall continue till such time it is released by the Pledge / Lien Holder(s) in writing or unless ordered by the competent authority.
- Any charges, duties, levies, etc. applicable on such Pledge will be borne by the Pledgor, and
- I / We are authorized to request for the pledge of units which are in my / our / institution's name.

2. I / We understand that if Pledge Form is received by NBP Funds and or Distributor / Facilitator after the cut off time, that transaction will be processed on next working day.

3. I/We for and on behalf of the above named entity (by whatever name called) hereby agree and give consent to NBP Fund Management Limited for performing Know-Your-Customer related verification including but not limited to Identity Verification i.e. (NADRA Verisys of directors/trustees/partners/ultimate beneficial owner(s)/officers/any other person by whatever name called and authorized signatories) and/or any other verification as may be required pursuant to regulatory requirement(s) either on its own or through third party service provider initially or any subsequent changes therein.

## Name(s) and Signature(s) of Unit Holder(s) / Pledger(s)

(All Joint Holders shall sign unless the first named is authorized to sign singly)

| Name(s) | Signature (stamp in case of institution) | Verification (For Official Use Only) |
|---------|--|--------------------------------------|
|         |  |                                      |
|         |  |                                      |
|         |  |                                      |
|         |  |                                      |

(For mandatory documents please see instructions overleaf)

### 3. DETAILS OF PLEDGE / LIEN HOLDERS

Name of Pledge / Lien Holder

Address \_\_\_\_\_

City \_\_\_\_\_ Country \_\_\_\_\_ Tel \_\_\_\_\_

Fax \_\_\_\_\_ E-Mail \_\_\_\_\_

|         |                |   |
|---------|----------------|---|
| Name(s) | CNIC / NTN No. | Signature (in case of institution, please also affix stamp) |
|         |                |   |
|         |                |   |

#### 4. DISTRIBUTOR / FACILITATOR INFORMATION

| DISTRIBUTOR / FACILITATOR INFORMATION |  |          |               |
|---------------------------------------|--|----------|---------------|
| Distributor / Facilitator Name / Code | Signature of<br>Distributor / Faciliator | Form No. | Date and Time |
|                                       |  |          |               |

## 5. FOR REGISTRAR USE ONLY

| Form Received on<br>(Date & Time) | Signatures Verified by<br>(Name & Signatures) | Pledge marked by/on | Pledge No. | Data Input By |
|-----------------------------------|---|---------------------|------------|---------------|
|                                   |   |                     |            |               |

## Guidelines for Completing Pledge Form (NBP Funds 05)

### FUND NAMES, CODE

| Fund Name                               | Fund Code | Sales Load (%) | Fund Name                          | Fund Code | Sales Load (%) |
|---|-----------|----------------|------------------------------------|-----------|----------------|
| NBP Government Securities Liquid Fund*  | NGSLF     | 0%             | NBP Sarmaya Izafa Fund**           | NSIF      | 3%             |
| NBP Money Market Fund*                  | NMMF      | 0.5%           | NBP Islamic Sarmaya Izafa Fund**   | NISIF     | 3%             |
| NBP Government Securities Savings Fund* | NGSSF     | 1%             | NBP Balanced Fund**                | NBF       | 3%             |
| NBP Riba Free Savings Fund*             | NRFSF     | 0.5%           | NBP Islamic Stock Fund**           | NISF      | 3%             |
| NBP Mahana Amdani Fund*                 | NMAF      | 0.5%           | NBP Stock Fund**                   | NSF       | 3%             |
| NBP Financial Sector Income Fund*       | NFSIF     | 1%             | NBP Islamic Energy Fund**          | NIEF      | 3%             |
| NBP Income Opportunity Fund*            | NIOF      | 1%             | NBP Financial Sector Fund**        | NFSF      | 3%             |
| NBP Savings Fund*                       | NBP-SF    | 1%             | NBP Islamic Mahana Amdani Fund     | NIMAF     | 1%             |
| NBP Islamic Savings Fund*               | NBP-ISF   | 1%             | NBP Islamic Regular Income Fund    | NIRIF     | 3%             |
| NBP Islamic Money Market Fund           | NIMMF     | 0.5%           | NBP Islamic Daily Dividend Fund    | NIDDF     | 0.5%           |
| NBP Cash Plan-I                         | NCP-I     | 3%             | NBP Income Plan-I                  | NIP-I     | 3%             |
| NBP Cash Plan-II                        | NCP-II    | 3%             | NBP Government Securities Plans    | NGSP      | 3%             |
| NBP Fixed Term Munafa Plan              | NFTMP     | -              | NBP Islamic Fixed Term Munafa Plan | NIFTMP    | -              |

This form is required from both Individual and Institutional Investors when they enter into any borrowing arrangement(s) with lending Institution thereby pledging his/her/its Units.

Please complete the Pledge of Units Form (for Individuals and Institutions) in Block Letters.

### INFORMATION ABOUT THE PLEDGER

The Account Holder / Pledger would need to state his/her/Institution's Title of A/c and NBP Funds A/c No.

### DOCUMENTS REQUIRED FOR PLEDGE

Pledger / Unit Holder(s) is/are required to attach the following documents.

- Unit Certificates, if issued.
- Certified True Copy of Board Resolution authorizing Pledge (in case of other than individual).
- Power of attorney (If applicable).

### OTHER INSTRUCTIONS

As per instructions given in respect of operation of accounts all the required Authorization Signatories (including Joint Holder(s) in case of Individual Investors) will have to sign this Form before submitting at any selected branch of NBP Funds / Distributor Company(ies).

- In case the investor can not sign the form, then he / she will be required to submit a clear copy of CNIC with one recent passport size photograph duly authenticated by his / her banker.
- It shall be the responsibility of the applicant to pay all stamp fees and other duties, taxes and processing charges in relation to the units pledged by him/her/it.

The use of the name and logo of National Bank of Pakistan does not mean that it is responsible for the liabilities/ obligations of the Company (NBP Fund Management Limited) or any investment scheme managed by it.