

یونٹ فارم کا تبادلہ (سی ایف - 06) ادارہ جات

NBP Funds Account Number (این بی پی فنڈز اکاؤنٹ نمبر)	Date (تاریخ):
Account Title (پرنسپل اکاؤنٹ ہولڈر کا نام)	

Guidelines for completing this Form (اکاؤنٹ ہولڈر کے بارے میں معلومات (بلاک لیٹر میں پُر کریں))

- This form is for institutional investors.
- Please fill the forms in BLOCK LETTERS and in legible handwriting to avoid errors.
- Please fill the forms by yourself.
- Please do not sign and submit blank forms.**
- All blank fields in the form must be marked as "N/A" (Not Applicable).
- If any alteration is made, a counter sign is mandatory.
- Authorized signatories must sign in the spaces on all pages.
- In case of incomplete details and signature(s) on the form, the form will not be accepted.
- In case of any query or clarification in filling or understanding the form, please contact us on 0800-20002.
- The account statement will be sent to the registered mailing address or email. If account Statement is not received with in a week, the investor should immediately contact NBP Fund Management Limited (Helpline: 0800-20002).
- Prior to making investment, Investors should read and understand the Trust Deeds, Offering Documents, Supplemental Offering Documents and Fund Manager Reports. All of these documents are available on our website www.nbp-funds.com

Exchange Of Unit Details (یونٹ فارم کا تبادلہ)

From (Transferring Fund Or Plan) (سے (منتقلی فنڈ یا منصوبہ))

I / We, being authorized person(s), apply for the exchange of Unit(s) of the Fund(s) / Plan(s) as specified below in accordance with the provisions of the Trust Deeds & Offering Documents of the respective Fund(s) / Plan(s).

Name of Fund/Plan (فنڈ / پلان کا نام)

(Please see fund(s) / Plan(s) names on the back side of the form) (براہ کرم فارم کے پیچھے حصے میں فنڈز / منصوبوں کے نام دیکھیں)

No. of Units to be Transferred (منتقل کردہ یونٹوں کی تعداد)	Amount (Rs.) (رقم (روپے))
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Certificates Issued (جاری کردہ سرٹیفکیٹ)	<input type="checkbox"/> No (نہیں)	<input type="checkbox"/> Yes (ہاں)	Certificate No.(s) (سرٹیفکیٹ نمبر)
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(In case of certificates issued, kindly attach the issued certificate(s), without which transfer of units to the other Fund(s) / Plan(s) will not be processed.) (تجاری کردہ سرٹیفکیٹ کی صورت میں، براہ کرم جاری کردہ سرٹیفکیٹ منسلک کریں، جس کے بغیر دیگر فنڈز / پلان میں یونٹوں کی منتقلی پر کارروائی نہیں ہوگی۔)

To (Transferring Fund Or Plan) As Selected By Investor (لیکھ کر سرمایہ کار منتخب کردہ (فنڈ یا پلان کی منتقلی) کرنے کے لئے)

Name of Fund/Plan (فنڈ / پلان کا نام)

For NBP Islamic Fixed Term Munafa Plans & NBP Fixed Term Munafa Plans Investors only (صرف این بی پی اسلامک فکسڈ ٹرم منافع پلانز اور این بی پی فکسڈ ٹرم منافع پلانز کی سرمایہ کاروں کے لیے)

I / We hereby declare that upon reaching initial maturity, I / We opt for the following for my investment:

- ☐ Re-invest / roll over to similar plan (if available)
- ☐ Transfer to NBP Islamic Money Market Fund for Islamic Plans & NBP Money Market Fund for Conventional Plans
- ☐ Redeem / withdraw my/our investment

If no similar plan is available then the amount will be transferred to NBP Islamic Money Market Fund for Islamic Plans and NBP Money Market for Conventional Plans. Note: In case if no option is selected by the investor then the investment amount will be automatically Re-invested/ roll overed.

Authorized Signatories (مجاز دستخط کنندہ)	Authorized Signatories (مجاز دستخط کنندہ)	Authorized Signatories (مجاز دستخط کنندہ)	Authorized Signatories (مجاز دستخط کنندہ)
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PROVISIONAL ACKNOWLEDGEMENT RECEIPT (عارضی اعترافی رسید)

Applicant Name: (درخواست گزار کا نام)	Date of Receipt: (رسید کی تاریخ)	Signature: (دستخط)
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Declaration

- I / We, understand that as a result of switching to another fund, my / our risk / return characteristics may change significantly;
- I / We understand that the fund(s) I / We chose to invest may carry risks whereby the value of my investment may go below the investment/principal amount;
- I / We will not claim Repatriation from Pakistan of Dividends and sales proceeds of the units except as permissible under the rules of State Bank of Pakistan or Ministry of Finance, Government of Pakistan;
- I / We have read and understood the Trust Deeds, Offering Documents and/or Supplementary Offering Documents of the respective Fund and especially the clauses that relate to the risks involved, together with the guidelines at the back of the form and the maximum rate of sales load charge to me / us.
- I / We agree to abide by the Terms & Conditions, Rules and Regulations of the respective Fund(s); Dividend (if any) will be re-invested automatically (Net of Tax) unless opted otherwise;
- I / We ratify that the information provided in this form is correct;
- I / We understand that investment in funds with an Equity & Bonds / Sukuks exposure carries relatively higher risk;
- I / We understand that if Investment form is received by NBP Funds and / or Distributor / Facilitator after the cut off time, that transaction will be processed on the next working day and that I / We would not hold NBP Funds responsible for any loss consequent to such processing of Investment form on the next working day;
- I / We acknowledge and confirm that I am relying only on the information provided in the Trust Deeds Offering Documents and/or Supplementary Offering Documents. And I will not rely upon any communication, whether written or oral and in form, from any sales agent / distributor of NBP Fund Management Limited, which may be contrary to the contents of this form and/or the Trust Deeds, Offering Documents and/or Supplementary Offering Documents.
- I/we hereby confirm that I/we have received, read and understood the latest Fund Manager Report and/or Fact Sheet in case of new CIS including details of the total expense ratio of the Fund, the management fee, selling and marketing expenses, contingent load, etc. and understand that the same is available and updated on NBP Funds website (<https://www.nbpffunds.com/>) from time to time.
- I / We agree and consent to NBP Fund Management Limited disclosing the information contained in this form to regulatory authorities/ service providers in connection with the asset management services provided by NBP Fund Management Limited.
- I / We fully informed and understand that investment in units of Mutual Fund/ CIS are not bank deposit, not guaranteed and not issued by any person. Shareholders of AMC are not responsible for any loss to investor resulting from the operations of any CIS launched/ to be launched by AMC (in future) unless otherwise mentioned.
- I / We understand that no representative of NBP Funds can Guarantee preservation / protection of capital and/or returns / profit on investments made by me.
- I / We understand that incase of dividend in NBP Islamic Preservation Plans, Dividend (if any) will be reinvested automatically unless opted otherwise.
- I/we hereby understand and agree that the transaction referred by National Bank of Pakistan (acting as a Distributor of NBP Funds) will be processed after completion of required documentation and CBC confirmation from the investor in compliance with SBP and SECP regulatory requirements.
- I/we for and on behalf of the above named entity (by whatever name called) hereby agree and give consent to NBP Fund Management Limited for performing Know-Your-Customer related verification including but not limited to Identity Verification i.e. (NADRA Verisys of directors/trustees/partners/ultimate beneficial owner(s)/officers/any other person by whatever name called and authorized signatories) and/or any other verification as may be required pursuant to regulatory requirement(s) either on its own or through third party service provider initially or any subsequent changes therein.

Disclaimer

I/We accept that my/our investments is subject to market risks and a target return / dividend range or capital protection cannot be guaranteed. I/We clearly understand, agree, acknowledge and accept that my/our investment is subject to market price fluctuations and other risks inherent in all such investments. The risks emanate from various factors which include, but are not limited to, market risks, government regulation risks, credit risks, liquidity risks, settlement risks, redemption risks, Shari'ah non-compliance risks, dividend distribution taxation risks, and changes in risks associated with trading volumes, liquidity and settlement systems in equity and debt markets. Past performance is not necessarily indicative of future results. The use of the name and logo of National Bank of Pakistan does not mean that it is responsible for the liabilities/ obligations of the Company (NBP Fund Management Limited) or any investment scheme managed by it. Investment in mutual funds are not bank deposits and are neither issued by, insured by, obligation of, nor otherwise supported by SECP, any Government Agency, Trustee (except to the extent specifically stated in the constitutive documents) or any of the shareholders of NBP Fund Management Limited or any of the Pre-IPO Investors or any other bank or financial institution. Returns offered by Funds / Plans can be positive and / or negative and may increase or decrease subject to capital market conditions and risk profile of the selected Fund / Plan. Hence, the value of investment may go below the invested amount. For further details, please refer to the detailed risk disclosures and disclaimers contained in the Offering Documents and Supplementary Offering Documents and the latest Fund Manager Report available on our website or by calling or writing to us.

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Risk Profile Category رہک پروفائل کی کٹیگری	Fund Names فنڈ کے نام	Sales Load* (* پیکر لوڈ)	Stock Market Exposure اسٹاک مارکیٹ میں سرمایہ کاری	Risk Of Principal Erosion سرمایہ کم ہونے کا رہک	Total Score مجموعی اسکور			
Very Low (بہت کم)	NBP Islamic Fixed Term Munafa Plans (NIFTMP)** این بی پی اسلامی فکسڈ ٹرم منافع پلانز **	-	No (نہیں)	Principal at very low risk سرمایہ بہت کم رہک پر	0-24			
	NBP Fixed Term Munafa Plans (NFTMP)** این بی پی فکسڈ ٹرم منافع پلانز **							
	NBP Cash Plan-I (NCP-I) این بی پی کیش پلان I	3%						
	NBP Cash Plan-II (NCP-II) این بی پی کیش پلان II							
Low (کم)	NBP Money Market Fund (NMMF) این بی پی منی مارکیٹ فنڈ	1%	No (نہیں)	Principal at low risk سرمایہ کم رہک پر	25-48			
	NBP Government Securities Liquid Fund (NGSLF) این بی پی گورنمنٹ سیکیورٹیز لیکویڈ فنڈ							
Moderate (معتدل)	NBP Islamic Daily Dividend Fund (NIDDF) این بی پی اسلامی ڈیلی ڈیویڈنڈ فنڈ	1%	No (نہیں)	Principal at low risk سرمایہ کم رہک پر	25-48			
	NBP Islamic Money Market Fund (NIMMF) این بی پی اسلامی منی مارکیٹ فنڈ							
	NBP Islamic Savings Fund (NBP-ISF) این بی پی اسلامی سیونگز فنڈ	3%				No (نہیں)	Principal at moderate risk سرمایہ معتدل رہک پر	49-72
	NBP Savings Fund (NBP-SF) این بی پی سیونگز فنڈ							
Medium (درمیانہ)	NBP Riba Free Savings Fund (NRFSF) این بی پی ریباری سیونگز فنڈ	1%	No (نہیں)	Principal at medium risk سرمایہ درمیانے رہک پر	73-96			
	NBP Mahana Amdani Fund (NMAF) این بی پی ماہانہ آمدنی فنڈ							
	NBP Income Plan-I (NIP-I) این بی پی انکم پلان I	3%						
	NBP Islamic Mahana Amdani Fund (NIMAF) این بی پی اسلامی ماہانہ آمدنی فنڈ	1%						
	NBP Government Securities Savings Fund (NGSSF) این بی پی گورنمنٹ سیکیورٹیز سیونگز فنڈ							
	NBP Islamic Income Fund (NBP-IIF) این بی پی اسلامی انکم فنڈ							
	NBP Income Opportunity Fund (NIOF) این بی پی انکم آپورٹونیتی فنڈ							
	NBP Financial Sector Income Fund (NFSIF) این بی پی فنانشل سیکٹر انکم فنڈ							
NBP Government Securities Plans (NGSP)** این بی پی گورنمنٹ سیکیورٹیز پلانز **	3%	No (نہیں)						
NBP Islamic Capital Preservation Plans (NICPP) این بی پی اسلامی کپیٹل پریزرویشن پلانز	3%	Yes (ہاں)						
High (زیادہ)	NBP Balanced Fund (NBF) این بی پی بیلنسڈ فنڈ	3%	Yes (ہاں)	Principal at high risk سرمایہ زیادہ رہک پر	97-120			
	NBP Islamic Sarmaya Izaafa Fund (NISIF) این بی پی اسلامی سرمایہ اضافہ فنڈ							
	NBP Sarmaya Izaafa Fund (NSIF) این بی پی سرمایہ اضافہ فنڈ							
	NBP Stock Fund (NSF) این بی پی اسٹاک فنڈ							
	NBP Islamic Stock Fund (NISF) این بی پی اسلامی اسٹاک فنڈ							
	NBP Islamic Energy Fund (NIEF) این بی پی اسلامی انرجی فنڈ							
	NBP Financial Sector Fund (NFSF) این بی پی فنانشل سیکٹر فنڈ							

The above table is in compliance with SECP Circular No. 2 and 32 of 2020 dated February 06, 2020 and October 22, 2020 respectively and may be amended from time to time.

* Utmost sales load excluding taxes and insurance/takaful - For details please refer to the Offering Documents/Supplementary Offering Documents of the fund.

** Contingent Load shall be charged on redemptions prior to initial maturity and shall commensurate with net loss incurred due to early redemption, as determined by the management company.

Note: NIFTMP and NFTMP Plans Minimum investment amount is Rs. 3 Million and above. Minimum redemption amount is lower of Rs. 3 Million or full investment balance.

NBP Funds reserves the right to make changes in offering documents subject to consent of Trustee and/or approval of SECP and notice to unit holders through its website www.nbpifunds.com

مندرجہ بالا ٹیبل مورخہ 6 فروری 2020 اور 22 اکتوبر 2020 کے ایس ای سی پی سرکلر بالترتیب نمبر 2 اور نمبر 32 کے تحت ہے جس میں وقتاً فوقتاً ترمیم کی جاسکتی ہے۔

* انتہائی سیلز لوڈ علاوہ ٹیکس اور انشورنس/تکافل - مزید معلومات کے لئے آفرنگ دستاویزات/مضعی آفرنگ دستاویزات کا مطالعہ کریں۔

** قبل از وقت ریڈمپشن پر عارضی لوڈ وصول کیا جائے گا ابتدائی پیچورٹی تک اور انتظامی کمپنی کی طرف سے متعین کے مطابق، جلد ریڈمپشن کی وجہ سے ہونے والے خالص نقصان کے مطابق ہوگا۔
نوٹ: این بی پی اسلامی فکسڈ ٹرم منافع پلانز میں کم از کم سرمایہ کاری کی رقم 30 لاکھ روپے یا اس سے زیادہ۔ کم از کم ریڈمپشن کی رقم 30 لاکھ روپے یا مکمل سرمایہ کاری بیلنس۔
این بی پی فنڈز کو فرسٹی کی رضا مندی اور یا ایس ای سی پی کی منظوری سے دستاویزات پیش کرنے میں تبدیلی کرنے کا حق محفوظ ہے اور یونٹ ہولڈر کے لئے نوٹس این بی پی فنڈز کی ویب سائٹ www.nbpifunds.com دیا جائیگا۔

Distributor / Facilitator Information (For Office Use Only)

تقسیم کار / سہولت کار سے متعلق معلومات (صرف دفتری استعمال کے لئے)

Approched by (رجوع کرنے والا)		Meeting ID (میٹنگ آئی ڈی)	Details of Distributor/Facilitator/Referral Name / Code (تقسیم کار / سہولت کار / ریفرل کی معلومات نام / کوڈ)		Transaction Date (ٹرانزیکشن کی تاریخ)
<input type="checkbox"/> NBP Funds Representative این بی پی فنڈز سیکرٹری	<input type="checkbox"/> Self خود سے				
NBP Referred - Name (نام)		SAP ID		Designation (عہدہ)	
Branch Name and SAP ID (مراجہ کا نام)		Branch Manager Name (مراجہ منیجر کا نام)		Branch Manager SAP ID	

For Registrar Use Only

صرف رجسٹرار کے استعمال کے لئے

Form received on (Date & Time) (فارم وصول کرنے کی تاریخ اور وقت)	Date and attachments verified by (تاریخ اور منسلقات تصدیق شدہ)	Data Input by (معلومات کا اندراج بذریعہ)	Transaction No. (ٹرانزیکشن نمبر)

Authorized Signatories
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